



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

BAKER et al

Atty. Ref.: 608-432

Serial No. 10/502,299

TC/A.U.: 1755

Filed: July 22, 2004

Examiner: Patricia L. Hailey

For: PROCESS FOR THE PREPARATION OF A MICROSPHEROIDAL
CATALYST

* * * * *

November 22, 2006

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

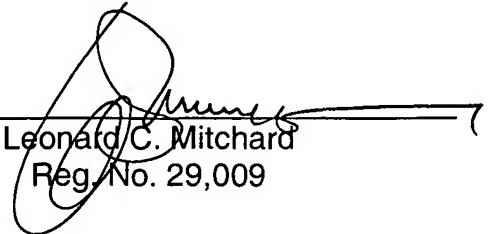
STATUS INQUIRY

A Form PTO-1390 and a Recordation Form Cover Sheet, together with the executed Assignment was filed on August 12, 2004 (copy attached). However, since then applicants have not received any Notice of Recordation from the Patent Office regarding recordal of the Assignment. A Notice of Allowance was mailed on September 6, 2006, indicating that the application has been allowed. Inquiry is made as to when a Notice of Recordation will be forthcoming.

Respectfully submitted,

NIXON & VANDERHYE P.C.

By: _____


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Reg. No. 29,009

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FORM PTO-1390 MODIFIED	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER 608-432
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) 10/502,299
INTERNATIONAL APPLICATION NO. PCT/GB02/05761	INTERNATIONAL FILING DATE 18 December 2002	PRIORITY DATE CLAIMED 22 January 2002

TITLE OF INVENTION

PROCESS FOR THE PREPARATION OF A MICROSPHEROIDAL CATALYST

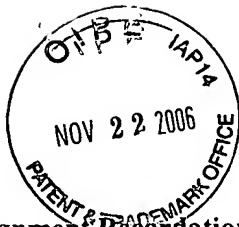
APPLICANT(S) FOR DO/EO/US

BAKER et al**COPY**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The U.S. has been elected by the expiration of 19 months from the priority date (Article 31).
5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto (pages specification, claims & abstract (claims), sheets drawings).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation
 - a. ☐ of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (pages specification, claims & abstract (claims), sheets drawings, page Certificate of Translation).
 - b. ☐ of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. § 371(c)(5)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has **NOT** expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9.
 - a. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
 - b. ☐ Declaration was submitted to the International Bureau during International Phase (see copies of Declaration (page Form PCT/RO/101 and Form PCT/IB/371 and first page of printed publication acknowledging receipt thereof attached).
10. See item 6.b. above.
Items 11 To 20 below concern document(s) or information included:
11. ☐ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment.
14. ☐ A SECOND or SUBSEQUENT preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☐ Other items or information.

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) 10/502,299		INTERNATIONAL APPLICATION NO. PCT/GB02/05761		ATTORNEY'S DOCKET NUMBER 608-432	
21. <input checked="" type="checkbox"/> The following fees are submitted:				CALCULATIONS PTO USE ONLY	
BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5)): -- Neither international preliminary examination fee (37 C.F.R. 1.482) nor international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO..... \$1080.00 -- International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$920.00 -- International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO..... \$770.00 -- International preliminary examination fee (37 C.F.R. 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$730.00 -- International preliminary examination fee (37 C.F.R. 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00 ENTER APPROPRIATE BASIC FEE AMOUNT =				\$ 0.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).				\$ 0.00	
CLAIMS		NUMBER FILED	NUMBER EXTRA	RATE	
Total Claims		37 minus 37 =	0	X	\$18.00
Independent Claims		4 minus 4 =	0	X	\$86.00
MULTIPLE DEPENDENT CLAIMS(S) (if applicable)				\$290.00	
Petition is hereby made to extend the current due date so as to cover the filing date of this paper and attachment(s) (\$110.00/1 month; \$420.00/2 months; \$950.00/3 months)				\$ 0.00	
TOTAL OF ABOVE CALCULATIONS =				\$ 0.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				0.00	
SUBTOTAL =				\$ 0.00	
Processing fee of \$130.00, for furnishing the English Translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(f)).				0.00	
TOTAL NATIONAL FEE =				\$ 0.00	
Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property				+	
Fee for Petition to Revive Unintentionally Abandoned Application (\$1330.00 - Small Entity = \$665.00)				\$ 0.00	
TOTAL FEES ENCLOSED =				\$ 40.00	
				Amount to be: refunded \$	
				Charged \$	
a. <input checked="" type="checkbox"/> A check in the amount of \$40.00 to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. 14-1140 in the amount of \$_____ to cover the above fees. A duplicate copy of this form is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1140. A duplicate copy of this form is enclosed. d. <input checked="" type="checkbox"/> The entire content of International Application No. PCT/GB02/05761 and any U.S. and foreign application(s) corresponding thereto, and 0201378.7, referred to in this application is/are hereby incorporated by reference in this application. NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status. CORRESPONDENCE ADDRESS Direct all correspondence to: <div><input checked="" type="checkbox"/> Customer Number: <div>23117</div><div>Type Customer Number here</div></div> <div>Place Customer Number Bar Label Here →</div>					
Telephone: (703) 816-4000 LCM:lfm					
Leonard C. Mitchard NAME					
29,009 August 12, 2004 REGISTRATION NUMBER Date					



RECORDATION FORM COVER SHEET

U.S. Department of Commerce
Patent and Trademark Office

PATENTS ONLY

Our Ref.: 608-432

Mail Stop Assignment Recordation Services

Commissioner of Patents

P.O. Box 1450

Alexandria, VA 22313-1450

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

<p>1. Name of conveying party(ies): Michael James Baker Peter Johnston Dennis Murphy</p> <p>Additional name/s of conveying party/ies attached? <input type="checkbox"/></p> <p>3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Assignment <input type="checkbox"/> Change of Name <input type="checkbox"/> Other</p> <p>Execution Date: July 21, 2004; July 1, 2004, and July 13, 2004. respectively</p>	<p>2. Name and address of receiving party(ies):</p> <p>Name: BP Chemicals Limited Internal Address: Street Address: Chertsey Road, Sunbury on Thames</p> <p>City: Middlesex State/Country: United Kingdom Zip: TW16 7BP</p> <p>Additional name/s & address/es attached? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>
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<p>4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is:</p>	
<p>A. Patent Application No(s). (1) 10/502,299 (2) (3)</p>	<p>B. Patent No(s). (1) (2) (3)</p>
<p>Additional numbers attached <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	

<p>5. Name and address of party to whom correspondence concerning document should be mailed:</p> <p>Name: Leonard C. Mitchard Internal Address: Street Address: Nixon & Vanderhye P.C. 1100 North Glebe Road 8th Floor City: Arlington State: VA Zip: 22201</p>	<p>6. Total number of applications & patents involved: 1</p> <p>7. Total fee (37 CFR 3.41) \$ 40.00 <input checked="" type="checkbox"/> Enclosed <input type="checkbox"/> Authorized to be charged to deposit account #14-1140</p> <p>8. The Commissioner is hereby authorized to charge any deficiency in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper thereafter filed in this application by this firm) to our Account No. 14-1140.</p>
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DO NOT USE THIS SPACE

<p>9. Statements and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</p>		
<p>for Leonard C. Mitchard Name of Person Signing Reg. No. 29,009</p>	<p><i>Leonard C. Mitchard</i> 25,327 Signature</p>	<p>COPY August 12, 2004 Date</p>
<p>Total number of pages including original cover sheet, attachments, and document: [3]</p>		

RECORDATION FORM COVER SHEET

PATENTS ONLY

Our Ref: 608-432

Commissioner of Patents and Trademarks
Box Assignment, P.O. Box 1450
Alexandria, VA 22313-1450

1. Name of conveying party(ies):	
2. Name: Johnson Matthey P.L.C. Street Address: 2-4 Cockspur Street, Trafalgar Square City: London State: United Kingdom Zip: SW1Y 5BQ	
4. Application number(s) or patent number(s):	
A. Patent Application No(s).	B. Patent No(s).
(4)	(4)
(5)	(5)
(6)	(6)
(7)	(7)
(8)	(8)
(9)	(9)

ASSIGNMENT

38A Hersham Rd
 Watton-on-Thames
 Surrey, KT12 1JH, UK

MPB

WHEREAS, WE, Michael James BAKER, Peter JOHNSTON and Dennis MURPHY, of British, Austrian and British nationality respectively, of ~~14 Derwent Close, Feltham, Middlesex, TW14 9QL, UK~~, 16 Gresley Lodge, Old North Road, Royston, Hertfordshire, SG8 5AG, UK, and 4 Stone Cottages, Newmarket Road, Moulton, Newmarket, Suffolk, CB8 8QN, UK, respectively, have invented certain new and useful improvements in "PROCESS FOR THE PREPARATION OF A MICROSPHEROIDAL CATALYST" for which WE have executed application for Letters Patent of the United States on the 1st, 13th, & 21st days of July 2004.

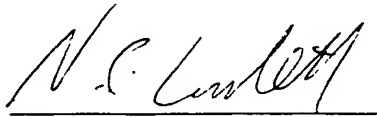
AND, WHEREAS BP CHEMICALS LIMITED, having place of business at Chertsey Road, Sunbury on Thames, Middlesex, TW16 7BP, United Kingdom (formerly of Britannic House, 1 Finsbury Circus, London, EC2M 7BA, United Kingdom), and JOHNSON MATTHEY PLC, having place of business at 2-4 Cockspur Street, Trafalgar Square, London, SW1Y 5BQ, United Kingdom, are desirous of obtaining the entire right, title and interest in, to and under the said application; and all Letters Patent which may be issued thereupon in the United States;

NOW THEREFORE, for valuable consideration, the receipt and adequacy of which is hereby acknowledged, WE, the said, Michael James BAKER, Peter JOHNSTON and Dennis MURPHY have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto BP CHEMICALS LIMITED and JOHNSON MATTHEY PLC, their successors, legal representatives and assigns, the entire right, titles and interest in, to and under the said application, and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues and extensions thereof; and we hereby authorise and request the Commissioner of Patents and Trademarks of the United States to issue all Letters Patent for said improvements in accordance with the terms of this instrument.

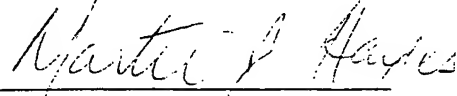
AND WE HEREBY covenant that WE have full right to convey the entire interest herein assigned, and that WE have not executed, and will not execute any agreement in conflict herewith.


AND WE HEREBY further covenant and agree that WE will communicate to the assignee herein, its successors, legal representatives and assigns any facts known to us respecting said improvements, and testify in any legal proceedings, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful declarations, and generally do everything possible to aid the assignee herein, its successors, legal representatives and assigns in obtaining and enforcing proper patent protection for said improvements in the United States.

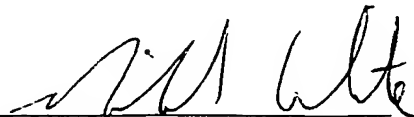
Dated: 21/7/2004 
 Michael James BAKER


 Witnessed

Dated: 1/7/2004 
 Peter JOHNSTON


 Witnessed

Dated: 13.7.2004 
 Dennis MURPHY


 Witnessed